

# The Chicago Author-Date Specification

## Important Note

Starting with biblatex version 1.5, in order to adhere to the author-date specification you will need to use Biber to process your .bib files, as BIB<sub>T</sub>EX (and its more recent variants) will no longer provide all the features you need. Unfortunately, however, the current release of Biber (0.9.5) contains bugs that make it tricky to use with biblatex-chicago. These bugs have been addressed in 0.9.6 beta, which is available for various operating systems in the development subdirectory of your SourceForge mirror, e.g., [UK mirror](#). (If, by the time you read this, Biber 0.9.6 has already been released, then so much the better.) The advice that follows in this document assumes that you are using Biber; if you wish to continue using BIB<sub>T</sub>EX then you need biblatex version 1.4c and, if you have any problems with the current release, possibly biblatex-chicago 0.9.7a.

## Usage

As a general rule, you'll probably want to use the `\autocite` command for most citations. For most sources, the result will be exactly as you expect it to be. A few examples: (Adorno and Benjamin 1999); (Ashbrook and Albright 1997); (Babb 1989); (Barcott 2000). Any page references should also appear as you expect: (Batson 1990, 338); (Beattie 1974, 79); (Boxer 1953, 36).

## Repeated citations

Repeated citations are somewhat complicated. The Chicago author-date style doesn't use "*Ibid.*," but in general a repeated citation on the same page will print only the page reference: (Browning 1996); (45). Technically, this should only occur when a source is cited "more than once in one paragraph" (Univ. of Chicago Press 2003, 16.114), so you can use the `\citereset` command from biblatex to achieve the greatest compliance, as the package only offers automatic resetting on part, chapter, section, and subsection boundaries, while biblatex-chicago automatically resets the tracker at page breaks:

`\citereset` (Univ. of Chicago Press 2003, 16.115). If you are going to repeat a source, make sure that the cite command provides a postnote — if you don't need to cite a specific page, then it's better only to use one citation rather than two, as otherwise, in the current state of the code, you'll get empty parentheses, like so: ().

## Other citation commands

The other citation commands from biblatex also work fine:

`\textcite`: Conley (1999); `\autocite*`: (1982); `\cite`: Conway 1998; `\cite*`: 2001; `\footnote` with `\autocite`; <sup>1</sup> `\footcite` (= `\cite` inside a `\footnote`). <sup>2</sup>

Multicites should work as you expect, too:

`\autocites`: (Electronic Book Technology Inc. 1991; Eliot 1953); `\autocites` by the same author: (Pirumova 1977a, 1977b); `\autocites` by the same author with postnotes: (Pirumova 1977a; 1977b, 14); `\textcites` by the same author with postnotes: Pirumova (1977a, 37; 1977b).

1. (Donne 1995).

2. Dunn 1999.

## Shorthands

Chicago's author-date style only seems to recommend the use of shorthands as abbreviations for long authors' names, particularly institutional names (Univ. of Chicago Press 2003, 17.47). By default, I have followed this recommendation: `\autocites: (BSI 1985; ISO 2001); \textcites: BSI (1985); ISO (2001)`. It suggests placing the expansion of the abbreviation into an alphabetized cross-reference inside the reference list itself, a procedure that I have now implemented for `biblatex-chicago`. To use this, you need a `CustomC` entry containing the expansion, and either a `usrc` field in the parent entry or a `\nocite` command to make sure it is printed in the reference list. If you use a `\printshorthands` command, the list of shorthands will still be printed, so you now have a variety of options available for presenting the expansions depending on your specific requirements. Please note, also, that you can get back something approaching the "standard" behavior of shorthands if you give the `cmslos=false` option to `biblatex-chicago` in your document preamble.

## Mildly problematic entries

In most entries, the absence of an author can be supplied by, e.g., an editor or a translator: (Crow and Olson 1966); (Silverstein 1974). Sometimes an anonymous work's author is known or can be guessed: ([Horsley] 1796); ([Cook?] 1730). Alternatively, in some cases the use of "Anon." as author may be the simplest option: (Anon. 1547); (Anon. 1610).

With Biber, an absent date will automatically provoke it into searching for other sorts of dates in the entry, in the order year, eventyear, origyear, urlyear: e.g., (Evanston Public Library 2002), which only has a urlyear. (You can eliminate some of these dates from the running, or change the search order, using the `\DeclareLabelyear` command in your preamble, but please be aware that I have hard-coded this order into the author-date style in order to cope with some tricky corners of the specification. If you reorder these dates, and your references enter these tricky corners, the results might be surprising. Cf. section 4.5.2 in `biblatex.pdf` and section 5.2, s.v. "date" in `biblatex-chicago.pdf` for the details.) In most entry types, the absence of all four possible dates will automatically produce "n. d." instead: (Bernstein n.d.). You can also give it yourself in the form `\bibstring{nodate}`: (Ross n.d.). A date that can be guessed should appear within square brackets: (Clark [1957?]). Forthcoming works are straightforward, assuming you remember to use the `\autocap` macro so that the word appears correctly in both citations and the list of references: (Author, forthcoming); (Contributor, forthcoming).

The *Manual* outlines a series of options for entries with more than one date (Univ. of Chicago Press 2003, 17.124–27). All of these possibilities are available in `biblatex-chicago` using the `cmsdate` entry option: `cmsdate=off` (the default): (Maitland 1926); `cmsdate=on`: (James 1909); `cmsdate=old`: (Emerson [1836] 1985); `cmsdate=new`: (Maitland 1898/1998). These options, in combination with others available in your `.bib` files, can cover a wide range of difficult cases. Please see the next section below, the documentation in `biblatex-chicago.pdf`, and also the following entries in `dates-test.bib`: (Schweitzer 1911; E. White 1946a, 1946b).

## Corners of the specification

In some cases, the *Manual* isn't altogether clear about how to present entries in the author-date style. I'm pretty certain about most of what follows, but if you interpret the specification differently please let me know.

## InReference entries

These present several peculiarities: the title of the work should always take the place of any author, no “n.d.” will be automatically provided, and any postnote field will be enclosed in quotation marks preceded by “s.v.” for “*sub verbo*.” This allows you to refer to alphabetized articles in well-known reference works: (*Ency. Brit.*, 15th ed., s.v. “Hume, David”); (*New Grove dict.* 2002, s.v. “Sibelius, Jean”); (*Wikipedia* 2008, s.v. “BibTeX”).

## Author-less Article (and Manual) entries

In article entries with the `magazine` entrysubtype, the absence of an author automatically places the title of the periodical in citations and at the head of the entry in the list of references: (*Gourmet* 2000); (*Lake Forester* 2000); (*New York Times* 2000). In manual entries, the organization field does the same: (Electronic Book Technology Inc. 1991). If you wish to present an abbreviated form of the journal (or organization) name only in citations, then the `shortauthor` field — or in other cases the `shorthand` field — is the place for it, making sure to include formatting: (*Ergänzungsblätter z. Allg. Lit.-Ztg.* 1828); (BSI 1985).

## Misc entries with an entrysubtype

When citing individual letter-like pieces from an unpublished archive where only an origdate is present, you no longer need to set the `cmsdate` option in your `.bib` entry, as Biber and biblatex-chicago now handle this automatically: (Creel 1918). Non-letters, e.g., interviews, use the date field, so you don’t need `cmsdate` there, either: (Spock 1974). For undated pieces you can put `\bibstring{nodate}` in the year field: (Dinkel n.d.). For citing whole collections, see the next section.

`entrysubtype = {classical}`

This option’s name derives from its use for citing texts from classical antiquity, though in the author-date style especially it can be put to use in several other contexts. In a nutshell, any entry with such an entrysubtype will be treated, in citations only, not as author-date but as author-title. (Entries in the list of references, e.g., a particular edition of Aristotle, will still appear in standard author-date format.) A `\cite*` or `\autocite*` command will, in such a case, produce the title rather than the year. Some examples should make this clearer:

Classical works: without abbreviation: (Aristotle *Metaphysica*); with abbreviation: (Aristotle *Metaph.*); (Pl. *Resp.*); using standard pagination: (*Metaph.* 3.2.996b5–8); (*Resp.* 420e); work cited by page of a modern edition, i.e., without entrysubtype: (Euripides 1958, 198).

Sacred works, e.g., the Bible and the Qur’an: (Gen. 25:19–36:43).

An unpublished archive, from which more than one work has been cited: (House Papers, file 12). (Both this and the previous example use a Misc entry with `classical` entrysubtype.)

## Comments inside citations

If you wish to include a comment inside the parentheses of a citation, it will need to be separated by a semicolon (Univ. of Chicago Press 2003, 16.111). If you have a postnote, then you can manually provide the punctuation and comment in that field, e.g., (Stendhal 1925, 4; the unrevised trans.). Without a postnote, you’ll need a separate Misc or CustomC entry containing just the text of the comment in the title field, entrysubtype `classical`, and options `skipbib`. An `\autocites` command calling both the main text and the comment will do the trick, e.g., (Univ. of Chicago Press 2003; no longer the current edition).

## Multiple authors

The default settings in `biblatex-chicago` are `maxnames=3`, `minnames=1` in citations and `maxbibnames=10`, `minbibnames=7` in the list of references (these latter parameters set in `biblatex-chicago.sty`). In practice, this means that an entry like `hlatky:hrt`, with 5 authors, will present all of them in the list of references but will truncate to one in citations, like so: (Hlatky et al. 2002). For the vast majority of circumstances, these settings are exactly right for the Chicago author-date specification. However, if “a reference list includes another work of *the same date* that would also be abbreviated as [‘Hlatky et al.’] but whose coauthors are different persons or listed in a different order, the text citations must distinguish between them” (Univ. of Chicago Press 2003, 16.118). The new (Biber-only) `biblatex` option `uniquelist`, set for you in `biblatex-chicago.sty`, will automatically handle many of these situations for you, but it is as well to understand that it does so by temporarily suspending the limits, listed above, on how many names to print in a citation. Without `uniquelist`, `biblatex` would present such a work as, e.g., (Hlatky et al. 2002b), while `hlatky:hrt` would be (Hlatky et al. 2002a). This does distinguish between them, but inaccurately, as it suggests that the two different author lists are exactly the same. With `uniquelist`, the two citations might look like (Hlatky, Boothroyd et al. 2002) and (Hlatky, Smith et al. 2002), which is what the specification requires.

If, however, the distinguishing name occurs further down the author list — in fourth or fifth position in our examples — then the default settings would produce citations with all 4 or 5 names printed, which can become awkwardly long. In such a situation, you can provide short-author fields that look like this: `{{Hlatky et al., \mkbibquote{Quality of Life,}}}` and `{{Hlatky et al., \mkbibquote{Depressive Symptoms,}}}`, using a shortened title to distinguish the references. This would produce (Hlatky et al., “Quality of Life,” 2002) and (Hlatky et al., “Depressive Symptoms,” 2002), as the spec recommends. There is, unfortunately, no simpler way that I know of to deal with this situation.

## Audiovisual entries

According to the *Manual*, “the author-date system is inappropriate for most audiovisual materials... Such materials are best mentioned in running text and grouped in the reference list under a subhead...” (17.265). Some entries work perfectly well: (Auden *Poems*); (Hitchcock 2000); (Handel 1988). Others perhaps require further information in the entry or genuinely are better suited to presentation in running text: (Beethoven n.d.); (New York Trumpet Ensemble 1982). Published (Audio) and unpublished (Misc) scores, for their part, are no problem at all: (Schubert 1895); (Verdi 1998); (Shapey 1966). The standard `biblatex` tools for subdividing reference lists are all available if you want to follow the *Manual*’s recommendations on that front.

## Further examples (mainly for testing purposes)

Article: (Associated Press 2000); (Brown 1978); (Bundy 1990); (Chu Ching and Zhi 1983); (Clemens 2000); (Conley 1999); (Connell and Airey 1982); (Friedman and Mezzetti 2001); (Garaud 1967); (Garrett 1975); (Gibbard 1999); (Kern 1938); (Kim Luu 1999); (Kozinn 2000); (Lewis 1998); (Loften 1989); (Loomis 1960); (Morgenson 2000); (Osborne 2000); (Ratliff 1999); (Reaves 2001); (Rozner 1979); (Schneider 1975); (Sewall 1896); (Stenger 1999); (Terborgh 1974); (Wall 1971); (Wallraff 2000); (Warr and Ellison 2000); (S. White 1999).

Artwork: (Leonardo 1480s).

Book: (Barrows 1959); (Churchill and Eisenhower 1990); (Cohen 1999); (Cotton Manufac. 1869); (Creasey 1976); (Creasey 1978); (Creasey 1966); (Davenport and Beck 2001); (Feydeau 1970); (Furet 1999); (Furet 1995); (Harley and Woodward 1994); (Hopp 1977); (Howell 1998); (Lach 1977); (Le Carré 1982); (Lévi-Strauss 1962); (Lynch and Horton 1999); (Maisonnette, Lamarche,

and St-Amand 1998); (McHugh 1980); (Menchú 1999); (Meredith 1970); (Michelangelo 1999); (Gibaldi 1998); (National Reconnaissance Office 1967); (Palmatary 1950); (Pelikan 1971); (Rodman 1997); (Schellinger, Hudson, and Rijsberman 1998); (Sechzer et al. 1996); (Sereny 1999); (Soltes 1999); (Stendhal 1925); (Suangtho and Lauridson 1990); (Thompson 1964); (Tillich, 1951–63); (Austin 1999); (Turabian 1996); (Walker and Taylor 1998); (Wauchope 1950); (Weber, Burlet, and Abel 1928); (Wereszycki 1977); (E. White 1976); (Wright 1968–78); (Wright 1969).

BookInBook: (Bernard 1990).

Collection: (Brush and Clark 1983); (Kamrany and Day 1980); (Angle 1968); (Zukowsky 1987).

Image: (Bedford 1860s).

InBook: (Ashbrook and Albright 1997); (Phibbs 1987); (Williams and Colomb 1990).

InCollection: (Centinel 1981); (Ellet 1968); (Keating 1968); (Lippincott 1968); (Sirosh, Miikkulainen, and Bednar 1996); (Wiens 1983).

InProceedings: (Frede 2009).

InReference: (*OED*, 2nd ed., s.v. “absolute”).

Manual: (Electronic Book Technology Inc. 1991).

Online: (Powell 1998).

Patent: (Petroff and Stapelbroek 1980).

Periodical: (Good 1999); (Whittington et al. 1991).

Report: (van Herwijnen 1988).

SuppBook: (Friedman 1994); (Polakow 1993); (Prose 2000).

Thesis: (Murphy 2000).

Unpublished: (Nass 2000).

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